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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/811,245
		Filing Date	March 25, 2004
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	PARCR 67992

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> <input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply)
<input type="checkbox"/> <input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<b>Postcard 120 References</b>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		24201

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP	
Signature		
Date	October 19, 2004	

## CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	JOHN S. NAGY, ESQ.		
Signature		Date	October 19, 2004

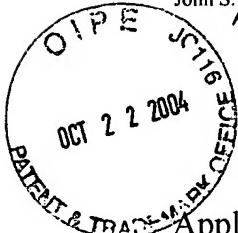
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*JFin*

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*John Nagy*  
John S. Nagy, Reg. No. 000004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.	:	10/811,245
Applicant	:	Lilip Lau, James Hong, Steven Meyer, Matthew G. Fishler, Craig Mar
Filed	:	March 25, 2004
Art Unit	:	3736
Examiner	:	Unassigned
Title	:	MULTI-PANEL CARDIAC HARNESS
Docket No.:	:	PARCR 67992
Customer No.	:	24201

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicant and are submitted to the Office under 37 C.F.R. § 1.97. A copy of each of the referenced articles and of each of the foreign references is enclosed.

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the

Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

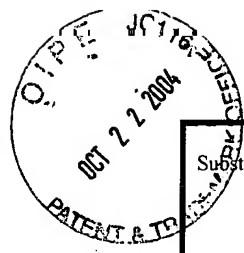
FULWIDER PATTON LEE & UTECHT, LLP

By:

  
John S. Nagy  
Registration No. 30,664

JSN:jeb  
Enclosures

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Facsimile: (310) 824-9696  
Customer No. 24201



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 13

**Complete if Known**

Application Number	10/811,245
Filing Date	March 25, 2004
First Named Inventor	Lilip Lau
Art Unit	3736
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67992

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		2,826,193		03/11/58	Vineberg	
		3,464,322		09/02/69	Pequignot	
		3,513,836		05/26/70	Sausse	
		3,587,567		06/28/71	Schiff	
		3,613,672		10/19/71	Schiff	
		3,966,401		06/29/76	Hancock et al.	
		3,983,863		10/05/76	Janke et al.	
		3,988,782		11/02/76	Dardik et al.	
		4,011,947		03/15/77	Sawyer	
		4,048,990		09/20/77	Goetz	
		4,065,816		01/03/78	Sawyer	
		4,108,161		08/22/78	Samuels et al.	
		4,192,293		03/11/80	Asrican	
		4,211,325		07/08/80	Wright	
		4,261,342		04/14/81	Aranguren Duo	
		4,306,318		12/22/81	Mano et al.	
		4,372,293		02/08/83	Vijil-Rosales	
		4,403,604		09/13/83	Wilkinson et al.	
		4,428,375		01/31/84	Ellman	
		4,512,471		04/23/85	Kaster et al.	
		4,536,893		08/27/85	Parravicini	
		4,545,783		10/08/85	Vaughn	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		FR	2 527 435		12/2/83	Serreaus		
		SU	3316206/28-13		04/07/83	Kulik		
		GB	2 115 287 A		09/07/83	Sharma		
		JP	60-203250		10/14/85	Unknown		
		GB	2 209 678 A		05/24/89	Evans		
		DE	3831 540 A1		04/06/89	Evans		
		JP	1-145066		06/07/89	Unknown		
		JP	1-271829		10/30/89	Kogyo		
		EPO	0 370 931 A1		05/30/90	Perrotin		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

13

Complete if Known	
Application Number	10/811,245
Filing Date	March 25, 2004
First Named Inventor	Lilip Lau
Art Unit	3736
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67992

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Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,628,937		12/16/86	Hess et al.	
		4,630,597		12/23/86	Kantrowitz et al.	
		4,665,906		05/19/87	Jervis	
		4,690,134		09/01/87	Snyders	
		4,697,703		10/06/87	Will	
		4,750,619		06/14/88	Cohen et al.	
		4,821,723		04/18/89	Baker, Jr. et al.	
		4,827,932		05/09/89	Idcker et al.	
		4,834,707		05/30/89	Evans	
		4,838,288		06/13/89	Wright et al.	
		4,840,626		06/20/89	Linsky et al.	
		4,863,016		09/05/89	Fong et al.	
		4,878,890		11/07/89	Bilweis	
		4,936,857		06/26/90	Kulik	
		4,957,477		09/18/90	Lundbäck	
		4,960,424		10/02/90	Grooters	
		4,973,300		11/27/90	Wright	
		4,976,730		12/11/90	Kwan-Gett	
		5,031,762		07/16/91	Heacox	
		5,057,117		10/15/91	Atweh	
		5,067,957		11/26/91	Jervis	
		5,087,243		02/11/92	Avitall	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		FR	2 645 739		10/19/90	Mentzer et al.		
		PCT	WO 91/19465		12/26/91	Frater		
		SU	1734767 A1		05/23/92	Popov et al.		
		EP	0 280 564 B1		06/16/93	Intermedics, Inc.		
		DE	38 31 540 C2		06/24/93	Evans		
		PCT	WO 95/06447		03/09/95	W.L. Gore & Associates		
		PCT	WO 96/04852		02/22/96	Northrup		
		DE	295 17 393 U1		03/14/96	Hohmann et al.		
		EP	0 583 012 B1		07/10/96	Heilman et al.		

Examiner Signature	Date Considered
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
				Application Number	10/811,245
				Filing Date	March 25, 2004
				First Named Inventor	Lilip Lau
				Art Unit	3736
				Examiner Name	Unassigned
Sheet	3	of	13	Attorney Docket Number	PARCR 67992

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		5,098,369		03/24/92	Heilman et al.
		5,106,386		04/21/92	Isner et al.
		5,119,804		06/09/92	Anstadt
		5,131,905		07/21/92	Grooters
		5,150,706		09/29/92	Cox et al.
		5,169,381		12/08/92	Snyders
		5,186,711		02/16/93	Epstein
		5,190,546		03/02/93	Jervis
		5,192,314		03/09/93	Daskalakis
		5,197,978		03/30/93	Hess
		5,256,132		10/26/93	Snyders
		5,279,539		01/18/94	Bohan et al.
		5,290,217		03/01/94	Campos
		5,336,254		08/09/94	Brennen et al.
		5,344,442		09/06/94	Deac
		5,352,184		10/04/94	Goldberg et al.
		5,356,432		10/18/94	Rutkow et al.
		5,366,460		11/22/94	Eberbach
		5,383,840		01/24/95	Heilman et al.
		5,385,156		01/31/95	Oliva
		5,385,229		01/31/95	Bittmann et al.
		5,385,528		01/31/95	Wilk

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Office <sup>3</sup>	Number <sup>4</sup>		
		PCT	WO 96/40356	12/19/96	EP Technologies, Inc.
		PCT	WO 97/20505	06/12/97	Loma Linda University
		PCT	WO 97/24101	07/10/97	Melvin
		EP	0 791 330 A3	08/27/97	Cardiothoracic Systems.
		PCT	WO 98/03213	01/29/98	Heartport, Inc.
		PCT	WO 98/14136	04/09/98	Acorn Medical, Inc.
		PCT	WO 98/26738	06/25/98	Hearten Medical, Inc.
		PCT	WO 98/29041	07/09/98	Myocor, Inc.
		PCT	WO 98/58598	12/30/98	Haindl
		PCT	WO 99/11201	03/11/99	Endocore, Inc.

Examiner Signature	Date Considered
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		Number	Kind Code <sup>2</sup> (if known)					
		5,405,360		04/11/95	Tovey			
		5,429,584		07/04/95	Chiu			
		5,460,962		10/24/95	Kemp			
		5,433,727		07/18/05	Sideris			
		5,456,711		07/18/95	Hudson			
		5,500,015		03/19/96	Deac			
		5,507,779		04/16/96	Altman			
		5,509,428		04/23/96	Dunlop			
		5,524,633		06/11/96	Heaven et al.			
		5,533,958		07/09/96	Wilk			
		5,534,024		07/09/96	Rogers et al.			
		5,545,210		08/13/96	Hess et al.			
		5,558,617		09/24/96	Heilman et al.			
		5,571,215		11/05/96	Sterman et al.			
		5,582,616		12/10/96	Bolduc et al.			
		5,584,803		12/17/96	Stevens et al.			
		5,593,424		01/14/97	Northrup III			
		5,593,441		01/14/97	Lichtenstein et al.			
		5,597,378		01/28/97	Jervis			
		5,603,337		02/18/97	Jarvik			
		5,607,477		03/04/97	Schindler et al.			
		5,647,372		07/15/97	Tovey et al.			
		5,647,380		07/15/97	Campbell et al.			
		5,695,525		12/09/97	Mulhauser et al.			
		5,702,343		12/30/97	Alferness			
FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		EP	0 919 193 A1		06/02/99	Ethicon Endo-Surgery		
		PCT	WO 99/30647		06/24/99	Myocor, Inc.		
		PCT	WO 99/44534		09/10/99	Melvin		
		PCT	WO 99/44680		09/10/99	Abiomed, Inc.		
		PCT	WO 99/53977		10/28/99	SciMed Life Systems		
		PCT	WO 99/56655		11/11/99	Correstore, Inc.		
		PCT	WO 00/02500		01/20/00	Acorn Cardiovascular		
		PCT	WO 00/06026		02/10/00	Myocor, Inc.		
		PCT	WO 00/06027		02/10/00	Mortier et al.		
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		5,713,954		02/03/98	Rosenberg et al.
		5,727,569		03/17/98	Benetti et al.
		5,749,839		05/12/98	Kovacs
		5,782,746		07/21/98	Wright
		5,800,334		09/01/98	Wilk
		5,800,528		09/01/98	Lederman et al.
		5,814,097		09/29/98	Sterman et al.
		5,824,028		10/20/98	Knisley
		5,836,311		11/17/98	Borst et al.
		5,848,962		12/15/98	Feindt et al.
		5,849,005		12/15/98	Garrison et al.
		5,853,422		12/29/98	Huebsch et al.
		5,865,791		02/02/99	Whayne et al.
		5,904,690		05/18/99	Middleman et al.
		5,910,124		06/08/99	Rubin
		5,927,284		07/27/99	Borst et al.
		5,948,019		09/07/99	Shu et al.
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		5,961,440		10/05/99	Schweich, Jr. et al.
		5,976,069		11/02/99	Navia et al.
		5,979,456		11/09/99	Magovern
		5,984,857		11/16/99	Buck et al.
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<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Office <sup>3</sup>	Number <sup>4</sup>		
		PCT	WO 00/06028	02/10/00	Mortier, et al.
		PCT	WO 00/13722	03/16/00	SciMed Life Systems
		PCT	WO 00/16700	03/30/00	Myocor, Inc.
		PCT	WO 00/18320	04/06/00	Univ. of Cincinnati
		PCT	WO 00/28912	05/25/00	Acorn Cardiovascular
		PCT	WO 00/28918	05/25/00	Acorn Cardiovascular
		PCT	WO 00/42919	07/25/00	Buckberg et al.
		PCT	WO 00/45735	08/10/00	Acorn Cardiovascular
		PCT	WO 00/48795	08/24/00	Richebourg

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Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/811,245
				Filing Date	March 25, 2004
				First Named Inventor	Lilip Lau
				Art Unit	3736
				Examiner Name	Unassigned
Sheet	6	of	13	Attorney Docket Number	PARCR 67992

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,007,486		12/28/99	Hunt et al.	
		6,015,378		01/18/00	Borst et al.	
		6,024,096		02/15/00	Buckberg	
		6,045,497		04/04/00	Schweich, Jr. et al.	
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		6,126,590		10/03/00	Alfernness	
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		6,162,168		12/19/00	Schweich, Jr. et al.	

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Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>				
		PCT	WO 00/62727	10/26/00	Hearten Medical		
		PCT	WO 00/74769	12/14/00	Johns Hopkins Univ.		
		PCT	WO 01/17437	03/15/01	Cardiothoracic Systems		
		PCT	WO 01/21098	03/29/01	Acorn Cardiovascular		
		PCT	WO 01/50981	07/19/01	Acorn Cardiovascular		
		PCT	WO 01/67985	09/20/01	Paracor Surgical		
		PCT	WO 01/85061	11/15/01	Acorn Cardiovascular		
		PCT	WO 01/91667	12/06/01	Cardioclasp, Inc.		
		PCT	WO 01/95830	12/20/01	Acorn Cardiovascular		

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				Application Number	10/811,245
				Filing Date	March 25, 2004
				First Named Inventor	Lilip Lau
				Art Unit	3736
				Examiner Name	Unassigned
Sheet	7	of	13	Attorney Docket Number	PARCR 67992

<b>U.S. PATENT DOCUMENTS</b>					
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		6,165,120		12/26/00	Schweich, Jr. et al.
		6,165,121		12/26/00	Alfernness
		6,165,122		12/26/00	Alfernness
		6,166,184		12/26/00	Hendriks et al.
		6,169,922		01/02/01	Alfernness et al.
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		6,221,103		02/24/01	Melvin
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		6,264,602		07/24/01	Mortier et al.
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		6,293,906		09/25/01	Vanden Hoek et al.
		6,306,141		10/23/01	Jervis
		6,360,749		03/26/02	Jayaraman

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		PCT	WO 01/95831	12/20/01	Acorn Cardiovascular
		PCT	WO 01/95832	12/20/01	Acorn Cardiovascular
		PCT	WO 02/13726	02/21/02	Acorn Cardiovascular
		PCT	WO 02/19917	03/14/02	Converge Medical
		PCT	WO 03/026483	04/03/03	The Foundry, Inc.
		PCT	WO 03/026485	04/03/03	The Foundry, Inc.
		PCT	WO 03/026484	04/03/03	The Foundry, Inc.

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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/811,245
(use as many sheets as necessary)				Filing Date	March 25, 2004
Sheet	8	of	13	First Named Inventor	Lilip Lau
				Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67992

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		Number	Kind Code <sup>2</sup> (if known)			
		6,375,608		04/23/02	Alferness	
		6,390,976		05/21/02	Spence et al.	
		6,402,679		06/11/02	Mortier et al.	
		6,402,680		06/11/02	Mortier et al.	
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		6,409,760		06/25/02	Melvin	
		6,416,459		07/09/02	Haindl	
		6,425,856		07/30/02	Shapland et al.	
		6,432,039		08/13/02	Wardle	
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		6,575,921		06/10/03	Vanden Hoek et al.	
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		6,673,009		01/06/04	Vanden Hoek et al.	
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		6,682,476		01/27/04	Alferness et al.	
		6,685,620		02/03/04	Gifford, III et al.	
		6,685,627		02/03/04	Jayaraman	

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		Filing Date	March 25, 2004
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
Sheet	9	of	13
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Substitute for form 1449B/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/811,245
(use as many sheets as necessary)				Filing Date	March 25, 2004
Sheet	10	of	13	First Named Inventor	Lilip Lau
				Group Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67992

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		BENCINI, Adriano, M.D., <i>The "Pneumomassage" of the Heart</i> , <u>Surgery</u> , Vol. 39, No. 3, March 1956			
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/811,245
(use as many sheets as necessary)				Filing Date	March 25, 2004
Sheet	11	of	13	First Named Inventor	Lilip Lau
				Group Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67992

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No <sup>1</sup> .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>
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